



Privacy Act 1993

Also refer to www.privacy.org.nz

Summary

The Privacy Act 1993 came into force on 1 July 1993. The Act is primarily concerned with good personal information handling practices. In short, each and every one of us has the right to monitor and control our personal information - protecting our information – (who has it and what are they going to do with it).

The Act contains twelve information privacy principles dealing with collecting, holding, use and disclosure of personal information and assigning unique identifiers. The principles also give individuals the right to access personal information and to request correction of it. They do not override other laws which govern the collection, use or disclosure of personal information.

The Privacy Act gives the Privacy Commissioner the power to issue codes of practice that become part of the law.

The Act also contains four public register privacy principles which limit:

- the manner in which information can be made available from public registers;
- re-sorting or combining public register information for commercial gain;
- electronic transmission of public registers;
- charging for access to public register information.

Exceptions

The Act is not intended to cover all areas that may have an impact on a person's privacy. For example, sometimes other statutes or regulations govern whether information can be disclosed, or accessed.

Not all bodies or people are "agencies" as defined by the Act. Also, the privacy principles only apply to personal information.

So, for instance, the Privacy Commissioner cannot investigate:

- The judicial actions of a court or tribunal. Instead, you have to appeal or challenge judicial decisions through the normal process.
- The news media when they are engaging in news activities (such as producing television programmes, or publishing newspaper articles or letters to the editor). If you want to complain about the actions of a news medium, you need to approach authorities such as the Broadcasting Standards Authority or the New Zealand Press Council or the courts.
- MPs acting in their official capacity. The Privacy Commissioner will not investigate their actions. It is up to Parliament or political parties to discipline MPs who breach privacy.
- Matters that are only or mostly to do with personal/household/family affairs.
- Complaints about use of information about a company, rather than a person.

How does the Privacy Act apply to Clubs?

When dealing with the Privacy Act clubs must consider the following:

- If a person is representing an organisation and breaches the Privacy Act it is the organisation that is liable.
- The Official Information Act overrides the Privacy Act.
- The act does **not** differentiate age – i.e. Parents of children are not automatically entitled to their child's' information. Except when the Official Information Act is applied around Health and Education sectors.
- Each organisation must appoint a Privacy Officer. Their role is to:
 - Make sure the organisation complies with the Privacy Act
 - Deals with requests made to the "Agency" about personal information.
 - Work with the Privacy Commissioner when dealing with complaints or the application of the Privacy Act.
- There are two pools of information that applies to Clubs
 - Members
 - Staff/Officials

Information Privacy Principles

At the core of the Privacy Act are 12 information privacy principles that set out how agencies may collect, store, use and disclose personal information.

The Privacy Act uses the term "agency". An agency is any individual, organisation or business, whether in the public sector or the private sector. There are a few exceptions such as MPs, courts, and the news media.

Generally, though, if a person or body holds personal information, they have to comply with the privacy principles.

"Personal information" is any information about an individual (a living natural person) as long as that individual can be identified. This information may be written, photographic or "knowledge" about an individual.

** Note: A common sense rule should always be applied in a common sense way when regarding the Act.

The privacy principles

Principle 1: Purpose of collection of personal information

Personal information must not be collected unless:

- the collection is for a lawful purpose connected with a function or activity of the agency collecting the information; and
- it is necessary to collect the information for that purpose.

Principle 2: Source of personal information

Personal information must be collected directly from the individual concerned.

The exceptions to this are when the agency collecting the information believes on reasonable grounds that:

- the information is publicly available; or
- the individual concerned authorises collection of the information from someone else; or
- the interests of the individual concerned are not prejudiced; or
- it is necessary for a public sector agency to collect the information to uphold or enforce the law, protect the tax base, or assist court or tribunal proceedings; or
- complying with this principle would prejudice the purposes of collection; or
- complying with this principle would not be reasonably practical in the particular case; or

- the information will not be used in a form that identifies the individual; or
- the Privacy Commissioner has authorised collection under section 54.

Principle 3: Collection of information

When an agency collects personal information directly from the individual concerned, it must take reasonable steps to ensure the individual is aware of:

- the fact that the information is being collected;
- the purpose;
- the intended recipients;
- the names and addresses of who is collecting the information and who will hold it;
- any specific law governing provision of the information and whether provision is voluntary or mandatory;
- the consequences if all or any part of the requested information is not provided; and
- the individual's rights of access to and correction of personal information.

These steps must be taken before the information is collected or, if this is not practical, as soon as possible after the information is collected.

An agency is not required to take these steps if they have already done so in relation to the same personal information, or information of the same kind, on a recent previous occasion.

It is also not necessary to comply with this principle if the agency collecting the information believes on reasonable grounds that:

- collection is already authorised by the individual concerned; or
- it is not prejudicing the interests of the individual concerned; or
- it is necessary for a public sector agency to collect the information to uphold or enforce the law, protect the tax base, or assist court or tribunal proceedings; or
- complying with this principle will prejudice the purposes of collection; or
- complying with this principle is not reasonably practical in the particular case; or
- the information will not be used in a form in which the individual concerned is identified.

Principle 4: Manner of collection of personal information

Personal information must not be collected by:

- unlawful means; or
- means that are unfair or intrude unreasonably on the personal affairs of the individual concerned.

Principle 5: Storage and security of personal information

An agency holding personal information must ensure that:

- there are reasonable safeguards against loss, misuse or disclosure; and
- if it is necessary to give information to another person, such as someone working on contract, everything reasonable is done to prevent unauthorised use or unauthorised disclosure of the information.

Principle 6: Access to personal information

Where personal information is held in a way that it can readily be retrieved, the individual concerned is entitled to:

- obtain confirmation of whether the information is held; and
- have access to information about them.

An agency may refuse to disclose personal information for a range of reasons, including that it would:

- pose risks to New Zealand's security or defence;
- breach confidences with another government;
- prevent detection of criminal offences or the right to a fair trial;
- endanger the safety of an individual;
- disclose a trade secret or unreasonably prejudice someone's commercial position;

- involve an unwarranted breach of another individual's privacy;
- breach confidence where the information has been gained solely for reasons to do with the individual's employment, or to decide whether to insure the individual;
- be contrary to the interests of an individual under the age of 16;
- breach legal professional privilege;
- reveal the confidential source of information provided to a Radio New Zealand or Television New Zealand journalist; or
- constitute contempt of court or the House of Representatives.

Requests can also be refused, for example, if the agency does not hold the information or if the request is frivolous or vexatious.

****Note:** Beware the agency must consider the following:

- The request for information can be either written or oral
- The individual does **not** have to have a reason to request the information.
- The individual does **not** have the right to the original documents; copies are all that is required.
- Information is not limited to written documents.
- The obligations of the Agency are:
 - Provide assistance with the request.
 - Inform the individual if you have transferred the request.
 - Respond within the time limited of the request – every request must be responded to within 20 working days.
 - Any decisions made regarding the request.
 - Provide the information in the form that it was requested.
- Precautions an Agency should take are:
 - Satisfactory identification of the individual requesting the personal information.
 - The individual has the authority to request the information.
 - The agency can not charge for the information, unless it covers provision costs only – paper, printing etc.
- Who can make requests:
 - New Zealand Citizens
 - New Zealand Permanent Residents
 - Any individual who is in New Zealand at the time.

Principle 7: Correction of personal information

Everyone is entitled to:

- request correction of their personal information;
- request that if it is not corrected, a statement is attached to the original information saying what correction was sought but not made.

If agencies have already passed on personal information that they then correct, they should inform the recipients about the correction.

Principle 8: Accuracy of personal information to be checked before use

An agency must not use or disclose personal information without taking reasonable steps to check it is accurate, complete, relevant, up to date, and not misleading.

Principle 9: Personal information not to be kept for longer than necessary

An agency holding personal information must not keep it for longer than needed for the purpose for which the agency collected it.

****Note:** The Club should have a written policy on how long information is kept. i.e. Only keep details on passed club members for 6 months once the member has left the club.

Principle 10: Limits on use of personal information

Personal information obtained in connection with one purpose must not be used for another.

The exceptions include situations when the agency holding personal information believes on reasonable grounds that:

- the use is one of the purposes for which the information was collected; or
- the use is directly related to the purpose the information was obtained for; or
- the agency got the information from a publicly available publication; or
- the individual concerned has authorised the use; or
- the use is necessary for a public sector agency to collect the information to uphold or enforce the law, protect the tax base, or assist court or tribunal proceedings; or
- the use is necessary to prevent or lessen a serious and imminent threat to public health or safety, or the life or health of any individual; or
- the individual concerned is not identified; or
- the use is authorised by the Privacy Commissioner under section 54.

Principle 11: Limits on disclosure of personal information

Personal information must not be disclosed unless the agency reasonably believes that:

- the disclosure is in connection with, or directly related to, one of the purposes for which it was obtained; or
- the agency got the information from a publicly available publication; or
- disclosure is to the individual concerned; or
- disclosure is authorised by the individual concerned; or
- it is necessary for a public sector agency to disclose the information to uphold or enforce the law, protect the tax base, or assist court or tribunal proceedings; or
- disclosure is necessary to prevent or lessen a serious and imminent threat to public health or safety, or the life or health of any individual; or
- disclosure is necessary to facilitate the sale of a business as a going concern; or
- the information is to be used in a form in which the individual concerned is not identified; or
- disclosure has been authorised by the Privacy Commissioner under section 54.

Principle 12: Unique identifiers

Unique identifiers – such as IRD numbers, bank customer numbers, driver's licence and passport numbers – must not be assigned to individuals unless this is necessary for the organisation concerned to carry out its functions efficiently. The identifiers must be truly unique to each individual (except in some tax related circumstances), and the identity of individuals must be clearly established.

No one is required to disclose their unique identifier unless it is for, or related to, one of the purposes for which the identifier was assigned.

The Government is not allowed to give people one personal number to use in all their dealings with government agencies.

Exceptions to the principles

Many of the principles have built-in exceptions. It's important to read the principles together with their exceptions to see how they relate to particular circumstances.

The privacy principles do not cover an individual who collects or holds personal information solely or principally for personal, family or household reasons.

Club Specific Questions:

1. **If a club takes a photo of an event that they run can the club put this photo onto a website or into promotional material or does the club have to get permission from the individuals involved?**

A photo is not necessarily “information”; however, asking for permission would be prudent. If you have a registration form that requires filling out then put a statement in that form stating any photos taken of the event will be used for promotional purposes of the club or any sponsors. This could also be a sweeping statement on your club membership forms. The club should always think of consequences i.e. is it reasonable to include photos of children that may have identifiable information?

****Note:** the “public realm” only applies to news agencies – if you are unsure why someone is at your event taking photos or collecting information you as a Club have the right to questions that person. If they are not connected to a news agency then you have the right to ask them to desist or leave the venue. Parents taking photos of their children or other family are exempt.

2. **If a club collects email addresses from entry forms can they be used for another purpose?**

If the club has obtained it for that purpose i.e. communication avenue for upcoming events, then yes, BUT they must inform them – a statement on the entry form is all that is required, be open about your purpose.

Put a privacy statement onto the club membership forms, they individual does not have to agree with the statement as it is a statement of intention.

3. **Can clubs hand membership details to Sponsors without consent?**

You do not have to have consent to hand information to sponsors if that information does not have identifiers for individuals BUT it is recommended that the club obtains consent.

4. **What if you inform a child that the club is collecting information and they agree but the parent does not?**

Parents do not have any power under the privacy act regarding information about their children. BUT morally you would want to keep parents on side so this is a situation where common sense should be exercised.

****NOTE:**

This fact sheet has been designed to provide general information about the Privacy Act 1993. It is not a detailed legal analysis. If you need more specific information, please see the Privacy Act in full, contact the Office of the Privacy Commissioner on 0800 803 909, email enquiries@privacy.org.nz, visit the website www.privacy.org.nz or seek legal advice.